

# Introduction to Non Commutative Algebraic Geometry

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## Abstract

Ordinary commutative algebraic geometry is based on commutative polynomial algebras over an algebraically closed field  $k$ . Here we make a natural generalization to matrix polynomial  $k$ -algebras which are non-commutative coordinate rings of non-commutative varieties.

**Keywords:** Non commutative algebraic geometry; Topology; Transition morphisms

## Introduction

### Algebraic varieties

In this introduction, we use Hartshornes classical book on algebraic geometry [2] as reference. We consider the free polynomial algebra over  $k = \bar{k}$ ,  $\text{Char } k = 0$ ,  $A = k[t_1 \dots t_d]$ . The affine  $n$ -space is the set of points in  $\mathbb{A}^n = k^n$ , an algebraic set is given by an ideal  $\mathfrak{a} \subseteq A$  as the zero set  $Z(\mathfrak{a}) = \{P \in \mathbb{A}^n \mid f(P) = 0 \forall f \in \mathfrak{a}\}$ . The algebraic sets are the closed sets in a topology on  $\mathbb{A}^n$  called the Zariski topology, and an algebraic, affine variety is a closed, irreducible (i.e. it is not a union of two proper closed subsets, equivalently, every open subset is dense), subset of  $V \subseteq \mathbb{A}^n$ . One basic term in algebraic varieties is an arrow-reversing correspondence from closed subsets  $Z(\mathfrak{a}) \subseteq \mathbb{A}^n$  to radical ideals  $\sqrt{\mathfrak{a}} \subseteq A$ . The ideal of a closed subset is  $I(V) = \{f \in A \mid f(P) = 0 \forall P \in V\}$

Thus  $I(Z(V)) = \sqrt{\mathfrak{a}}$ , the radical of  $\mathfrak{a}$ .

There is a close connection between differential geometry and algebraic geometry, and because differential geometry is seen as a tool for applications (physics), the same is true for algebraic geometry. The topology in differential geometry is the smallest topology making the analytic functions continuous. In algebraic geometry, we work with polynomials rather than power-series, so we use the smallest topology that makes rational functions continuous. That is the Zariski topology defined above.

In the Zariski topology, we have the definition of regular functions: Let  $U \subseteq \mathbb{A}^n$  be an open subset. A map  $\psi: U \rightarrow k$  is called regular if there exists polynomials  $f, h$  such that  $\psi(P) = \frac{f(P)}{h(P)}$  with  $h(P) \neq 0$  for all  $P \in U$ .

**Definition 1:** The ring of regular functions defined over an open subset  $U \subseteq V \subseteq \mathbb{A}^n$  is the ring  $\mathcal{O}_V(U) = \{\psi: U \rightarrow k \mid \psi \text{ is regular on } U\}$ , with its natural ring operations.

**Definition 2:** (Inductive and Projective Limits). A directed set  $(I, \leq)$  is a partially ordered set  $I$  such that every finite subset of elements has an upper bound, or equivalently, that for each pair  $a, b \in I$  there is a  $c \in I$  such that  $a \leq c$  and  $b \leq c$ . Consider a small category  $\mathcal{A}$ .

a) A projective system of elements in  $\mathcal{A}$  is a family of objects  $\{A_i\}_{i \in I}$  together with transition morphisms  $\psi_{ij}: A_j \rightarrow A_i$  for each pair  $i \leq j \in I$  with the properties that, for each  $i \in I, \psi_{ii} = id$ , and if  $i \leq j \leq k$  then  $\psi_{ij} \circ \psi_{jk} = \psi_{ik}$ . The projective limit of the projective system is defined as an object  $\lim_{\leftarrow i \in I} A_i \in \mathcal{A}$  with morphisms  $\psi_i: \lim_{\leftarrow i \in I} A_i \rightarrow A_i$

for each  $i$  such that for all  $i \leq j, \psi_i = \psi_{ij} \circ \psi_j$ , and such that if  $(Y, \phi)$  is another object with corresponding properties, then there is a unique morphism  $\rho: Y \rightarrow \lim_{\leftarrow i \in I} A_i$  such that  $\psi_i \circ \rho = \phi_i$ . In a small category, to prove the unique existence of projective limits, we let

$$\lim_{\leftarrow i \in I} A_i = \{a \in \prod_{i \in I} A_i \mid a_i = \psi_{ij}(a_j) \text{ for all } i \leq j \in I\}.$$

b) An Inductive system is the dual of a projective: It is a family of objects  $\{A_i\}_{i \in I}$  together with transition morphisms  $\psi_{ij}: A_i \rightarrow A_j$  for each pair  $i \leq j \in I$  with the properties that, for each  $i \in I, \psi_{ii} = id$  and if  $i \leq j \leq k$  then  $\psi_{jk} \circ \psi_{ij} = \psi_{ik}$ .

The inductive limit of the inductive system is defined as an object  $\lim_{\rightarrow i \in I} A_i \in \mathcal{A}$  with morphisms  $\psi_i: A_i \rightarrow \lim_{\rightarrow i \in I} A_i$  for each  $i$  such that for all  $i \leq j \in I, \psi_j = \psi_{ij} \circ \psi_i$  and such that if  $(Y, \phi)$  is another object with corresponding properties, then there is a unique morphism  $\rho: \lim_{\rightarrow i \in I} A_i \rightarrow Y$  such that  $\phi_i \circ \rho = \psi_i$ . In a small category, to prove the unique existence of inductive limits, we let

$$\lim_{\rightarrow i \in I} A_i = \coprod_{i \in I} A_i / \sim, a_i \sim a_j \Leftrightarrow a_i = \psi_{ki}(a_k), a_j = \psi_{kj}(a_k) \text{ for some } k \geq i, j$$

For the definitions in this text, we notice that the family of open subsets is a directed set partially ordered by inclusion. The ring of regular functions locally at  $P$  is  $\mathcal{O}_{V,P} = \lim_{\rightarrow U \ni P} \mathcal{O}_V(U)$ , and by duality, we also

have the other way around:  $\mathcal{O}_V(U) = \lim_{\leftarrow P \in U} \mathcal{O}_{V,P}$ .

By this we have that the coordinate ring of the variety  $V$  is  $\mathcal{O}_V(V) = S(V) = A / I(V)$ ,

And that the ring of locally regular functions in  $P$  is

$$\mathcal{O}_{V,P} \simeq S(V)_{\mathfrak{m}_P}$$

Where  $\mathfrak{m}_P = I(P) = (t_1 - P_1, \dots, t_d - P_d)$  is the maximal ideal corresponding to  $P$

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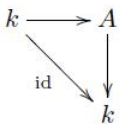
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The final definition of the category of affine varieties in the commutative situation is the definition of morphisms. Morphism between two affine varieties  $V, W$  is a continuous map  $\phi: V \rightarrow W$  such that the induced map  $\phi^\#: \mathcal{O}_W(U) \rightarrow \mathcal{O}_V(\phi^{-1}(U))$  is well defined for each open  $U \subseteq W$ , that is  $f \mapsto f \circ \phi$  is regular on  $V$ .

**Local Categories**

Everything in this section and the next can be found in M. Schlessinger's classical work [7]. Let  $\ell$  denote the category of local artinian  $k$ -algebras with residue field  $k$ . That is diagrams



with  $A$  local, artinian. The morphisms in  $\ell$  are the  $k$ -algebra homo morphisms commuting in the diagram. We let  $\hat{\ell}$  denote the procategory, which is the category of projective limits in  $\ell$ . For any covariant functor  $f: C \rightarrow \mathbf{Sets}$  we have the following lemma:

**Lemma 1 (Yoneda):** For any object  $C \in C$  there is an isomorphism  $F(C) \xrightarrow{\psi_C} \text{Mor}(\text{Mor}(C, -), F)$

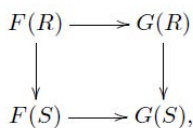
Given by  $\psi_C(\xi)(A)(\phi) = F(\phi)(\xi)$ , with inverse  $\psi_C^{-1}(\phi) = \phi(C)(\text{id}) \in F(C)$

The lemma extends to procategories, and is true for contra variant functors when we replace  $\text{mor}(C, -)$  with  $\text{mor}(-, C)$ . In particular:

**Lemma 2:** Let  $f: I \rightarrow \mathbf{Sets}$  be a covariant functor. Then for every  $\hat{R} \in \hat{\ell}$  there is an isomorphism

$$\psi_{\hat{R}} : \hat{F}(\hat{R}) \rightarrow \text{Mor}(\text{Mor}(\hat{R}, -), \hat{F})$$

As usual  $k[\varepsilon] \cong k[x]/(x^2)$  denotes the algebra of dual numbers. An epimorphism  $\pi: R \rightarrow S$  in  $I$  is called small if  $\ker \pi \cdot m_R = 0$  where  $m_R$  is the maximal ideal in  $R$ . Finally, a transformation of functors  $f, g: I \rightarrow \mathbf{Sets}$  is smooth if for any small morphisms  $R \rightarrow S$ , in the diagram



if objects  $F(S) \ni x_S \mapsto y_S \in G(S)$  and  $G(R) \ni y_R \mapsto y_S \in G(S)$ , there is an object  $x_R \in F(R)$  mapping to both  $x_S$  and  $y_R$

The following concept is the one we generalize in this text:

**Definition 3:** The couple  $(\hat{R}, \hat{\xi})$  is said to prorepresent  $F$  if  $\psi_{\hat{R}}(\hat{\xi})$  is an isomorphism. The couple is said to be a prorepresenting hull, or  $\hat{R}$  is said to be a formal moduli with proversal family,  $\hat{\xi}$ , if  $\psi_{\hat{R}}(\hat{\xi})$  is smooth and an isomorphism for  $k[\varepsilon]$ , (usually and reasonably) called the tangent level.

**Lemma 3:** A prorepresenting object is unique up to unique isomorphism. A prorepresenting hull is unique up to non unique isomorphism.

**Global to Local Theory**

Let  $f: \text{sch} \rightarrow \mathbf{Sets}$  be a covariant functor. Assume there exists a fine moduli space for the set  $F(k)$  (which can be interpreted by the "family"-functor being representable). This means that there exists

a scheme  $M/k$  and a universal family  $u \in F(M)$  such that, with the notation above,  $\psi_M(u)$  is an isomorphism. Let  $M \in F(\text{Spec } k)$  be an object represented by the closed point  $[M] \in \mathbb{M}$ , and define a covariant functor  $F_{\ell, M}: \ell \rightarrow \mathbf{Sets}$  by

$$F_{\ell, M}(R) = \{M_R \in F(\text{Spec } R) \mid F(\text{Spec } k \rightarrow \text{Spec } R)(M_R) = M\}.$$

Because  $M$  is a fine moduli,  $\text{Mor}(-, \mathbb{M}) \cong F \Rightarrow \text{Mor}(\hat{\mathcal{O}}_{\mathbb{M}, [M]}, -) \cong \hat{F}_{\ell, M}$ . This says that  $(\hat{\mathcal{O}}_{\mathbb{M}, [M]}, \hat{\mathcal{U}}_{[M]})$  prorepresent  $\hat{F}_{\ell, M}$  and so is unique up to unique isomorphism.

We call  $F_{\ell, M}$  the local deformation functor. The idea is the following:

The local formal moduli represent the local, completed rings of the moduli scheme, and can be used to analyse, or to construct, the moduli scheme.

**Algebraic Varieties Revisited (Defined by local theory)**

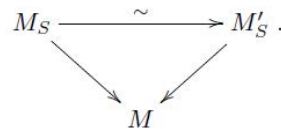
Let  $A = k[t_1, \dots, t_d]/\mathfrak{a}$  be a  $k$ -algebra. Then  $\text{Spec } A$  is fine moduli for its closed points (maximal ideals). A point  $\mathfrak{m} \in \text{Spec } A$  corresponds to a unique morphism  $\phi_{\mathfrak{m}}: \text{Spec } k \rightarrow \text{Spec } A$ , i.e.

$$\text{Hom}(\text{Spec } k, \text{Spec } A) \cong \text{Pts}(\text{Spec } A).$$

**Definition 4:** Let  $M$  be an  $A$ -module. Then  $\text{Def}_M: \ell \rightarrow \mathbf{Sets}$  is defined by

$$\text{Def}_M(S) = \{S \otimes_k A\text{-modules } M_S \mid M_S \text{ is } S\text{-flat, } k \otimes_S M_S \cong M\} / \sim,$$

where two deformations are equivalent if there is an isomorphism  $M_S \rightarrow M'_S$  commuting with the fibre, i.e.



The earlier discussion shows that if  $M = A/\mathfrak{m}$  for  $\mathfrak{m} \subset A$  maximal, then  $\hat{A}_{\mathfrak{m}}$  pro represents  $\text{Def}_{A/\mathfrak{m}}$ . Thus the affine theory can be defined as before, but with the local rings replaced by local formal moduli in each point. This is, by the way, the way we use deformation theory to construct moduli.

Notice that we have an injection  $A \rightarrow \hat{H}_{A/\mathfrak{m}}$  by definition, because an  $H \otimes_k A$ -structure on  $A/\mathfrak{m}$ , at over  $S$ , is a homo morphism  $A \rightarrow \text{End}_H(H \otimes_k A/\mathfrak{m}) \cong H, A \cong \text{im}$ .

**Non Commutative Affine Algebraic Geometry**

For the ordinary, commutative affine algebraic geometry, the basic object is the polynomial algebra in  $d \in \mathbb{N}$  variables. In the non commutative situation, we take the matrix polynomial algebra as our basic object. That is:

Let  $D = (d_{ij}) \in M_r(\mathbb{N})$  be an  $r \times r$ -matrix. Then the matrix polynomial algebra  $k[D]$  is the  $r \times r$  matrix polynomial algebra generated by the idempotents  $e_i, 1 \leq i \leq r$  together with the matrix variables  $t_{ij}(1), \dots, t_{ij}(d_{ij}) = t_{ij}$  for  $1 \leq i, j \leq r$ . We use the notation

$$k[D] = \begin{pmatrix} k[t_{11}] & \langle t_{12} \rangle & \cdots & \langle t_{1(r-1)} \rangle & \langle t_{1r} \rangle \\ \langle t_{21} \rangle & k[t_{22}] & \cdots & \langle t_{2(r-1)} \rangle & \langle t_{2r} \rangle \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ \langle t_{(r-1)1} \rangle & \langle t_{(r-1)2} \rangle & \cdots & \langle t_{(r-1)(r-1)} \rangle & k[t_{(r-1)r}] \end{pmatrix}.$$

Notice that we use the commutative polynomial  $k$ -algebras on the diagonal. This is not the natural free object in the category, but we use it because it is simpler to give a (naive) geometric interpretation.

Also notice that this notation implies that the multiplication of  $t_{ij}(l)$ 's are given by matrix multiplication.

**Example 1:**  $A = \begin{pmatrix} k[t_{11}(1), t_{11}(2)] & kt_{12} \\ kt_{21}(1) + kt_{21}(2) & k[t_{22}(1), t_{22}(2)] \end{pmatrix}$

Differential geometry was generalized to noncommutative geometry by Connes and Marcolli [3], and further developed by Dubois-Violette in [4].

Generalization of differential geometry to matrix algebras is given by Dubois-Violette, Kerner and Madore in [5].

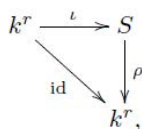
We use results from the above referred articles in the generalization of algebraic geometry. Before we are ready to define the noncommutative analogue of the ring of dual numbers:

**Definition 5:** The non commutative  $r \times r$   $k$ -algebra of dual numbers, also called the test algebra, is the algebra  $T = k[D]/m^2$

where  $D$  is the  $r \times r$ -matrix with  $1$  in every entry, and  $m$  is the ideal generated by all the variables  $t_{ij}$ .

The rest of the results in this section can be found in the work of Arnfinn Laudal [6].

**Definition 6:** The category  $a_r$  is the category with objects Artinian algebras fitting in the diagram



and such that  $\iota^n(S) := \ker \rho = 0$  for some  $n \in \mathbb{N}$ , and with morphisms the  $k^r$ -algebra homo morphisms commuting with the above diagrams.

**Definition 7:** Let  $\mathcal{M} = \{M_1, \dots, M_r\}$  be a set of  $r \in \mathbb{N}$  right  $A$ -modules, and put  $M = \oplus_i M_i$ . Then we define  $\text{Def}_{\mathcal{M}} : a_r \rightarrow \text{Sets}$  by

$\text{Def}_{\mathcal{M}}(S) = \{S \otimes_k A - \text{mod } M_S \mid k^r \otimes_S M_S = M, M_S \simeq_S S \otimes_k M\} / \cong$ , the relation  $\cong$  being the one corresponding to the commutative situation. We must assume  $M_S$  to be an  $s - a$  abi module on which  $k$  acts centrally.

Notice that the property  $M_S \simeq_S S \otimes_k M$  says that the isomorphism is as  $S$ -modules. This is equivalent to  $M_S$  being  $S$ -flat, but we take that into the definition.

**Definition 8:**  $H_{\mathcal{M}}$  is called the semilocal formal moduli with formally versal family  $M$  if  $\phi_{H_{\mathcal{M}}}(\mathcal{M})$  is smooth, and an isomorphism for the test-algebra.

**Lemma 4:** The non commutative deformation functor  $\text{Def}_{\mathcal{M}} : a_r \rightarrow \text{Sets}$  has a semi local moduli determined by some well-defined Generalized Massey Products. That is to say, it can be constructed. Also, the construction gives a well-defined injection [6].

$$A \rightarrow \text{End}_{H_{\mathcal{M}}}(H_{\mathcal{M}} \otimes_{k^r} M).$$

**Proof:** See Eriksen [1] or Siqueland [8] for a constructive proof. A proof of existence can be given by generalizing the classical proof of Schlessinger in [7] verbatim.

Now we have all the needed tools necessary to define the

noncommutative affine space.

**Definition 9:** Consider a matrix polynomial algebra  $A = k[D]$ ,  $D = (d_{ij}) \in M_r(\mathbb{N})$ . The affine algebraic space  $\mathbb{A}^D$  of this algebra is the disjoint union of the affine spaces on the diagonal, that is  $\mathbb{A}^D = \coprod_{i=1}^r \mathbb{A}^{d_{ij}}$ , with the product (Zariski) topology. Each (closed) point in this space corresponds to a maximal ideal on the diagonal in the matrix algebra, which again corresponds to one-dimensional representations of  $A$ . For each finite set of (closed) points  $V = \{V_1, \dots, V_s\} \subset \mathbb{A}^D$ , we let  $V = \sum_{i=1}^s V_i$ , and we define the semi local ring of  $\mathbb{A}^D$  in  $V$  as  $\mathcal{O}_{\mathbb{A}^D, V} = \text{End}_{H_V}(H_V \otimes_{k^r} V) \simeq H_V$ .

The generalized concept of localization immediately gives the natural generalizations of affine varieties, regular maps, and morphisms. A lot of result needs to be established, which we will do in forthcoming work. Also, the deformation theory can be removed from the discussion, by defining the semi-local rings by their generalized Massey Products which can be given intrinsic.

Also, as algebraic geometry can be seen as a simplification of differential geometry for physical models, the noncommutative theory is needed for physical models involving entanglement.

For more examples, see the author's articles [9-11] where more examples appear as resulting algebras of noncommutative deformation theory.

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